

## PERMIT APPLICATION FORM INSTRUCTIONS

The following instructions pertain to the standard License/Permit Form 3-200 that must be completed as an application for a U.S. Fish and Wildlife Service permit. Please read the General Permit Procedures (50 CFR 13) sent with this package.

- \* Complete all appropriate blocks/lines. Print clearly or type in the information. *A complete application prevents delays!*
- \* Sign the application *in ink* and send an *original* to the address on the top of the application. Faxed copies will not be accepted.
- \* Applications will be processed in the order they are received.

Most of the application form is self-explanatory, but the following provides some further assistance for completing the form.

### COMPLETE EITHER BLOCK A OR BLOCK B:

Block A. **"Complete if applying as an individual"** - Enter the complete name of the responsible party who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. All blocks must be completed. If you are applying on behalf of a client, the personal information must pertain to the client. A notarized document stating power of attorney must be included with the application.

Block B. **"Complete if applying as a business, corporation, public agency or institution"** - Enter the complete name and address of the business, agency or institution who will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in, the name and phone number of the person in charge, and if the company is incorporated, the state in which it was incorporated.

### ALL APPLICANTS COMPLETE BLOCK C:

Block C.1 **"Do you currently have or have you had any Federal Fish and Wildlife license or permits?"** - List the number of any FWS or CITES permits. If applying for a renewal, the original permit must be returned with this application.

Block C.2 **"Have you obtained any required state or foreign government approval to conduct the activity you propose?"** - If the proposed activity is regulated, check the appropriate box. If "yes", list the State or foreign countries involved and type of document required. Include a copy of these documents with the application. If "no" indicate what steps you have taken to secure approval (use attachment if necessary). If the proposed activity is not regulated check "not required".

Block C.3 **"Attachments"** - Consult the fact sheet or regulation. Provide any required additional information outlined on the supplemental page(s) of the application form. Be as complete and descriptive as possible. If there is any doubt as to the information's relevance, include it with the application. An incomplete or unclear application may cause delays in processing.

Block C.4 **"Check or money order (if applicable)"** - There is a permit processing fee unless you are fee exempt. Consult the enclosed APPLICATION PROCESSING FEE SCHEDULE information. Make the check or money order payable to the **U.S. Fish and Wildlife Service** and attach it to the application form. If fee exempt, write "exempt" in this space.

Block C.5 **"CERTIFICATION"** - The individual applicant in Block A, the person named in Block B, or person with power of attorney must sign and date the application in ink. This signature binds that person to the statement of certification. This means that you certify that you read and understood the regulations that apply to the permit. You also certify that everything included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application before signing.



Department of the Interior  
U.S. Fish & Wildlife Service

Expires 07/31/2004  
OMB No. 1018-0094

Federal Fish and Wildlife License/Permit Application Form

Return to: [Click here for addresses](#)

Endangered Species Permits

U.S. Fish and Wildlife Service

Type of Activity: Native Endangered & Threatened Species -

Enhancement of Survival Permits associated with Safe Harbor Agreements,  
and Candidate Conservation Agreements With Assurances

AP ID# 68038  
TE-174351-0

A. Complete if applying as an individual					
1.a. Last name:		1.b. First name:		1.c. Middle name or initial:	
1.d. Suffix:		1.e. Doing business as (dba):		2.a. Street Address (line 1):	
2.b. Street Address (line 2):		2.c. Street address (line 3):		3.a. City:	
3.b. County:		3.c. Province:		3.d. State:	
3.e. Zip code or postal code:		3.f. Country (only for non-commercial):		4. Date of birth (mm/dd/yyyy):	
5. Social Security No:		6. Occupation:		7. List of any business, agency, organizational, or institutional affiliation associated with the wildlife or plants to be covered by this license or permit:	
8. Home telephone number:		9. Work telephone number:		10. Fax number:	
11. E-mail address:					

B. Complete if applying as a business, corporation, public agency or institution					
1.a. Name of business, agency, or institution: Arizona Game and Fish Department		1.b. Doing business as (dba):		2. Tax identification no.:	
3.a. Street address (line 1): 5000 W. Carefree Highway		3.b. Street address (line 2):		3.c. Street address (line 3):	
4.a. City: Phoenix		4.b. County: Maricopa		4.c. State: Az	
4.d. Zip code: 85086		5.a. Principal officer - Last name: Shroufe		5.b. First name: Duane	
5.c. Middle name or initial:		5.d. Suffix:		5.e. Principal officer title: Director	
6. Describe the type of business, agency, or institution: Agency		7. Home telephone number:		8. Work telephone number:	
9. Fax number:		10. E-mail address:			

C. All applicants complete	
1. Do you currently have or have you had any Federal Fish and Wildlife License or Permit? Yes <input checked="" type="checkbox"/> If yes, list the number of the most recent license or permit you hold: No <input type="checkbox"/>	
2. Have you obtained any required state or foreign government approval to conduct the activity you propose? Yes <input type="checkbox"/> If yes, provide a copy of the license or permit. No <input type="checkbox"/> Not Required <input type="checkbox"/>	
3. Attachments: Complete the additional pages of this application. Application will not be considered complete without these pages. Incomplete applications may be returned.	
4. Enclose check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$25. Institutions which qualify under 50 CFR 13.11(d)(3) may be exempt from fees.	
5. <b>Certification:</b> I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13, of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I further certify that the information submitted in this application for a license or permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	
6. Signature (in ink) of applicant or person responsible for permit in Block A or B 	7. Date (mm/dd/yyyy): 12/21/2007

**NATIVE ENDANGERED & THREATENED SPECIES  
APPLICATION FOR PERMIT**

**ENHANCEMENT OF SURVIVAL PERMITS  
ASSOCIATED WITH  
SAFE HARBOR AGREEMENTS AND  
CANDIDATE CONSERVATION AGREEMENTS WITH ASSURANCES**

**INSTRUCTIONS TO APPLICANTS**

To allow for processing time, and to ensure the timely issuance of a permit should one be granted, you are urged to apply for a permit at least three months prior to the start of your proposed activities. If you are renewing or amending an existing permit, your application must be received at least 30 days prior to the expiration of the existing permit. The information provided in your permit application will be used to process your application in accordance with the Endangered Species Act, its implementing regulations (which may include the solicitation of public comments on the application for 30 days), and with U.S. Fish and Wildlife Service policy. Receipt and possession of a permit under the Endangered Species Act should be regarded as a privilege, as we must balance permit issuance with our duties to protect and recover listed species.

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Before you submit an application for an Enhancement of Survival permit, we may require you to conduct biological surveys to determine which species and/or habitat would be impacted by the activities sought to be covered under the permit. Our general permit regulations at 50 CFR 13.12(a)(9) allow us to collect such other information as we determine that is relevant to the processing of a permit application. These biological surveys provide information that the applicant needs to develop an adequate Safe Harbor Agreement or Candidate Conservation Agreement with Assurances, and that we need to assess the biological effects. In addition, the information provided in a biological survey can reduce the applicant's risk of take under Section 9 of the Endangered Species Act by ensuring that affected species and/or habitat will be identified and thus covered under the permit.

Prior to conducting the biological survey, you may wish to obtain a permit from us for Scientific Purposes, Enhancement of Propagation or Survival (commonly called a Recovery permit) which will authorize any taking of listed species that would result from the survey. Contact the nearest Service Field office to discuss the need for a biological survey and a corresponding Recovery permit, as we will evaluate this on a case-by-case basis. If a biological survey is required, we urge you to apply for the corresponding Recovery permit at least 3 months prior to the desired start of the survey to allow for processing time.

If you are not applying as an individual but as a business, corporation, institution, or non-Federal

public agency (block B. on page 1), the person to whom the permit will be issued (e.g., the landowner, president, director, executive director, or executive officer) is legally responsible for implementing the permit. Although other people under the direct control of the permittee (e.g., employees, contractors, consultants) receive third party take authorization in their capacity as designees of the permittee, the individual named as the permittee ultimately is legally responsible for the permit and any activities carried out under the permit except as otherwise limited in the case of permits issued to State or local government entities under 50 CFR 13.25(d).

Up-to-date annual reports and any other required reports under your existing permit(s) must be on file before a permit will be considered for renewal or amendment.

If your activities may affect species under the authority of the National Marine Fisheries Service (NMFS), then you may need to obtain a separate permit from NMFS. NMFS and the Fish and Wildlife Service (Service) share jurisdiction for sea turtles. The Service issues permits to conduct activities impacting sea turtles on land, and the NMFS issues permits to conduct activities impacting sea turtle in the marine environment. To apply for a permit to conduct activities with sea turtles in the marine environment, please contact the NMFS via the Internet at [http://www.nmfs.noaa.gov/prot\\_res/PR3/Permits/ESAPermit.html](http://www.nmfs.noaa.gov/prot_res/PR3/Permits/ESAPermit.html)

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Please check one:

☒ New application for an Enhancement of Survival permit associated with a Safe Harbor Agreement or Candidate Conservation Agreement with Assurances.

☐ Renewal of existing an Enhancement of Survival permit associated with a Safe Harbor Agreement or Candidate Conservation Agreement with Assurances using my current application package on file. Note: if the information in your current application package has changed in a manner that triggers a major amendment or a change not otherwise specified in the Safe Harbor Agreement/Candidate Conservation Agreement with Assurances, then you must apply for an amendment to your existing permit. Such changes may include changes in location, activity, amount or type of take, or species to be covered by the permit.

☐ Amendment of existing Enhancement of Survival permit associated with a Safe Harbor Agreement or Candidate Conservation Agreement with Assurances.

☐ Transfer or succession of an existing Enhancement of Survival permit associated with an Safe Harbor Agreement or Candidate Conservation Agreement with Assurances.

General permit regulations for the U.S. Fish and Wildlife Service can be found at 50 CFR 13. Regulations for an Enhancement of Survival permit associated with a Safe Harbor Agreement can be found at 50 CFR 17.22(c)(1) for endangered wildlife species and 50 CFR 17.32(c)(1) for

threatened wildlife species. Regulations for an Enhancement of Survival permit associated with a Candidate Conservation Agreement with Assurances can be found at 50 CFR 17.22(d)(1) for endangered wildlife species and 50 CFR 17.32(d)(1) for threatened wildlife species. For Enhancement of Survival permit applications, the following specific information (relevant to the activity) under items 1 - 3 below must be provided in addition to the general information on page 1 of this application. In addition, each landowner who wishes to be covered under the Enhancement of Survival permit must sign (in ink) and date the Enhancement of Survival Permit Application Certification Notice on page 8, unless the landowner will be covered under this U.S. Fish and Wildlife Service Enhancement of Survival permit via another vehicle, such as a certificate of inclusion (50 CFR 13.25(d)).

**You have 4 options for providing the specific information for items 1 - 3 below. Choose only one option.**

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**Option I. Renewal of Existing Enhancement of Survival Permit**

If you are applying for renewal of your existing valid renewable Enhancement of Survival permit with no changes, excepting changes allowed under the existing permit such as minor amendments, you may sign the following statement. If you have any changes to your Enhancement of Survival permit, you must use Option II. The same person who signs in box C.6 on page 1 should sign the statement below.

I certify that the statements and information submitted in support of my original application for a U.S. Fish and Wildlife Service Enhancement of Survival permit # \_\_\_\_\_ are still current and correct and hereby request renewal of that permit. This certification language is required under 50 CFR 13.22(a).

\_\_\_\_\_  
signature

\_\_\_\_\_  
date

\_\_\_\_\_  
please print name legibly

\* Please note: If you have signed the above statement, then your renewal request is complete. Please submit this renewal request to the return address on page 1 of the application. Requests for renewals must be received no later than 30 days prior to permit expiration to ensure that your current permit remains in effect while we process your request for permit renewal.

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**Option II. New or Amended Enhancement of Survival Permit**

If the information is already provided in your existing Safe Harbor Agreement or existing

Candidate Conservation Agreement with Assurances, then you do not have to provide it here. Instead, check the box below and indicate after each item the page numbers in your Agreement that provide the requested information. If the information is not in your existing Safe Harbor Agreement or existing Candidate Conservation Agreement with Assurances, then use Option III below.

- ☒ I am not providing the following information for items 1 - 3 as part of my permit application, because it is already provided in my existing Safe Harbor Agreement or existing Candidate Conservation Agreement with Assurances (copy attached or already submitted) on the pages indicated below.

If you have already submitted a final draft Safe Harbor Agreement or Candidate Conservation Agreement with Assurances, please indicate the document's date below.

Date of final draft Safe Harbor Agreement or Candidate Conservation Agreement with Assurances \_\_\_\_\_

1. Identify property and activity:

- a. Provide the physical address(es) of covered properties. Include a formal legal description such as local address, Section/Township/Range, County tax parcel number, or other formal legal description. Fill in below, or provide page references where the requested information is located.

Page(s): 7

- b. Provide the total number of acres covered by the Agreement 207.29

Is this the total acreage of the parcel? (circle one)      yes                  no

- c. Provide the approximate number of acres planned to be impacted \_\_\_\_\_

- d. Provide the approximate number of acres planned to be protected \_\_\_\_\_

- e. Provide a complete description, including timeframes for implementation, of proposed voluntary management activities to enhance, restore, or maintain habitat benefitting federally listed, proposed or candidate species, or other species likely to become candidates.

Page(s): 21-26

2. Identify species and activity:

a. For a new permit:

Provide the common and scientific names of the species sought to be covered by the permit, as well as the species' status (federally classified as endangered (E), federally classified as threatened (T), proposed for federal classification as endangered (PE), proposed for federal classification as threatened (PT), federal candidate for listing (C), or species likely to become a candidate (LC)). Also include the number, age and sex of such species, if known. Also, please quantify any anticipated effects to the habitat of each covered species.

If you are applying for an Enhancement of Survival permit under a Safe Harbor Agreement, please provide a brief description of the baseline population and habitat conditions for each federally listed species proposed for coverage under the Safe Harbor Agreement. (Note: Baseline conditions should be summarized in a manner appropriate for each covered species, generally in terms of numbers of individuals present or amount of suitable habitat.

b. For an amended permit:

Identify the additional species sought to be covered by the amendment (provide both the scientific, to the most specific taxonomic level, and common names), as well as the species' status (see a. above),

Provide the number, age and sex of such species (if known).

Identify the activity sought to be authorized for each species.

Identify the species on your existing permit and the activities authorized for each species. If any activities requested in this application differ from those authorized in your existing permit, then state the current activity and the requested new activity for each species.

Identify species to be deleted from your existing permit.

Quantify any anticipated effects to the habitat of each added species.

Page(s): \_\_\_\_\_

3. Identify any additional permits currently held or needed for the proposed activities (i.e., permission to work on Federal lands, Federal bird banding permit, State permits, etc).

- a. Attach a copy or give agency name, permit number, if any, date of signature, and duration of permit.
- b. If you have already applied for these additional permits/authorizations and are awaiting issuance of the permits/authorizations, then state it here. *[If you do not have this permission at this time, please provide an explanation.]*

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**Option III. If any of the above information in items 1-3 is not in your Safe Harbor Agreement or Candidate Conservation Agreement with Assurances, then attach separate pages.** In order to assist us in processing your application, please provide the item number (1.a., etc.) of the required information before each of your responses. Thank you.

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**Option IV. Permit Transfer or Succession of a Permit**

If you are applying for an existing permit to be transferred to you or obtaining rights of succession of an existing permit, please fill out the following information. You and the current permit holder may also need to sign an assumption agreement.

Please indicate the name of the Safe Harbor Agreement or Candidate Conservation Agreement with Assurances to be transferred or succeeded and indicate the document's date.

Name of Safe Harbor Agreement or Candidate Conservation Agreement with Assurances  
Page Springsnail Candidate Conservation Agreement w/ Assurances

Date of Safe Harbor Agreement or Candidate Conservation Agreement with Assurances  
 \_\_\_\_\_

An Assumption Agreement

**is**                      **is not**                      (FWS Field Office to circle one)

required as part of the transfer or succession permit application for the Safe Harbor Agreement or Candidate Conservation Agreement with Assurances.

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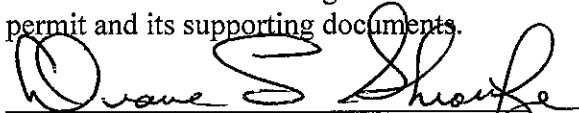


Regardless of which Option you choose to provide the required information, all applicants must sign the following Certification. This language may be altered only under certain circumstances, such as a permit transfer; any changes in the language must be reviewed by the Department of Interior, Office of the Solicitor and approved by the Service. The same person who signs in box C.6 on page 1 should sign the certification.

**Enhancement of Survival Permit Application  
Certification Notice**

By submitting this application and receiving an Enhancement of Survival permit pursuant to Section 10(a)(1)(A) of the Endangered Species Act, I

\_\_\_\_\_(print name (s))  
attest that I/we own the lands indicated in this application, or have sufficient authority or rights over these lands to implement the measures of the Safe Harbor Agreement and/or Candidate Conservation Agreement with Assurances covered by the Enhancement of Survival permit. Further, upon receipt of the permit, I/we agree to conduct the activities as specified in the Safe Harbor Agreement and/or Candidate Conservation Agreement with Assurances according to the terms and conditions of the Enhancement of Survival permit and its supporting documents.

  
signature

12/21/2007  
date

Duane L. Shroffe  
please print name legibly

\_\_\_\_\_  
signature

\_\_\_\_\_  
date

\_\_\_\_\_  
please print name legibly

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The public reporting burden for completing this application is estimated to be less than 2.5 hours, including time for reviewing instructions, gathering and maintaining application data, and completing and reviewing the forms. Comments regarding the burden estimate or any other aspect of the reporting requirement(s) should be directed to the Service Information Collection Clearance Officer, MS 222 ARLSQ, Fish and Wildlife Service, Washington, DC 20240.

An agency may not conduct and a person is not required to respond to a collection of information unless a currently valid OMB control number is displayed.

Application for a Federal Fish and Wildlife License/Permit

**Paperwork Reduction Act and the Privacy Act - Notices**

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, *et seq.*) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised that:

1. The gathering of information on fish and wildlife is authorized by:
  - (a) Bald Eagle Protection Act (16 U.S.C. 668);
  - (b) Endangered Species Act of 1973 (16 U.S.C. 1539);
  - (c) Migratory Bird Treaty Act (16 U.S.C. 703-711);
  - (d) Marine Mammal Protection Act of 1972 (16 U.S.C. 1371-1383);
  - (e) Wild Bird Conservation Act (16 U.S.C. 4901-4916);
  - (f) Lacey Act (18 U.S.C. 42 & 44);
  - (g) Convention on International Trade in Endangered Species of Wild Flora and Fauna (TIAS 8249);
  - (h) Title 50, Part 10, of the Code of Federal Regulations;
  - (i) Title 50, Part 13, of the Code of Federal Regulations;
  - (j) Title 50, Part 14, of the Code of Federal Regulations;
  - (k) Title 50, Part 15, of the Code of Federal Regulations;
  - (l) Title 50, Part 16, of the Code of Federal Regulations;
  - (m) Title 50, Part 17, of the Code of Federal Regulations;
  - (n) Title 50, Part 21, of the Code of Federal Regulations;
  - (o) Title 50, Part 22, of the Code of Federal Regulations; and
  - (p) Title 50, Part 23, of the Code of Federal Regulations.
2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for licenses or permits authorized under the above acts. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny a permit. Response is not required unless a currently valid Office of Management and Budget (OMB) control number is displayed.
3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1371-1383) will be published in the **Federal Register** as required by the two acts.
4. Routine use disclosures may also be made:
  - (a) To the U.S. Department of Justice when related to litigation or anticipated litigation;
  - (b) Of information indicating a violation or potential violation of a statute, regulation, rule, order or license to appropriate Federal, State, local or foreign agencies responsible for investigation or prosecuting the violation or for enforcing or implementing the statute, rule, regulations, order or license;
  - (c) From the record of an individual in response to an inquiry from a Congressional office made at the request of that individual (42 FR 1903; April 11, 1977);
  - (d) To subject matter experts, and State and other Federal agencies, for the sole purpose of obtaining advice relevant to issuance of the permit.
5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
6. The public reporting burden for this information collection varies depending on the specific activity for which a permit is requested. The relevant burden for completing the application for an Enhancement of Survival permit associated with a Safe Harbor Agreement or a Candidate Conservation Agreement with Assurances is 2.5 hours. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, Mail Stop 222, Arlington Square, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240

**Freedom of Information Act - Notice**

For organizations, businesses, or individuals operating as a business (*i.e.*, permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page, and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

**APPLICATION PROCESSING FEE**

The fee to process an application for an Enhancement of Survival permit associated with a Safe Harbor Agreement and a Candidate Conservation Agreement With Assurances is \$25.00. Checks should be made payable to "U.S. Fish and Wildlife Service." The fee applies to permit applications, renewals, and amendments. The processing fee shall not be refunded if the permit is issued or denied, or if the application is abandoned.

The fee schedule does not apply to any Federal, State or local government agency or individual or institution under contract to such agency for the proposed activities. Until further notice, the fee will be waived for public institutions. As defined in 50 CFR 10.12 - "Public as used in referring to museums, zoological parks, and scientific or educational institutions, refers to such as are open to the general public and are either established, maintained, and operated as a governmental service or are privately endowed and organized, but not operated for profit."